

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
P-4356

In Re Application Of: **JERRY WAESE**

Serial No.  
08/909,489

Filing Date  
August 12, 1997

Examiner  
Not Yet Assigned

Group Art Unit  
2763

Title:

**METHOD AND SYSTEM FOR SIMULATING CONSCIOUSNESS IN A COMPUTER GENERATED CHARACTER**



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
JUN -9 AM 8:01  
GROUP 2700

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED  
JUN 11 1998  
GROUP 2100

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.

P-4356

In Re Application Of: **JERRY WAESE**

Serial No.

08/909,489

Filing Date

August 12, 1997

Examiner

Not Yet Assigned

Group Art Unit

2763

Title:

**METHOD AND SYSTEM FOR SIMULATING CONSCIOUSNESS IN A COMPUTER GENERATED CHARACTER****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 18-2284 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☐ Charge any additional fee required.

RECEIVED  
98 JUN-9 AM 8:01  
GROUP 2700

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 6/2/98 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Lisa Samuels*  
Signature of Person Mailing Correspondence

*Lisa Samuels*  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*Lisa Samuels*  
Signature

Dated: 6/12/98

RECEIVED  
JUN 11 1998  
GROUP 2100

CC:

GAU 2763

#15



ATTORNEY DOCKET NO.: P-4356

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jerry WAESE

Serial No.: 08/909,489

For: METHOD AND SYSTEM FOR  
SIMULATING CONSCIOUSNESS IN  
A COMPUTER GENERATED  
CHARACTER

Filed: June 2, 1998

Assistant Commissioner for Patents  
Washington, D.C. 20231

Group Art Unit: 2763

Examiner: Not Yet Assigned

**RECEIVED**

**JUN 11 1998**

**GROUP 2100**

RECEIVED  
98 JUN -9 AM 8:00  
GROUP 2700

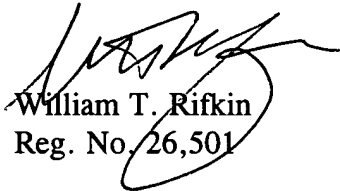
INFORMATION DISCLOSURE STATEMENT

Attached is form PTO 1449 listing prior art presently known to the applicant. Copies  
of each document have been enclosed.

U.S. Patent No.	Patentee	Issued
4,333,152	Best	6/1/82
4,445,187	Best	4/24/84
4,569,026	Best	2/4/86
5,006,987	Harless	4/9/91
5,347,306	Nitta	9/13/94
5,461,714	Honiden et al.	10/24/95
5,490,232	Asano et al.	2/6/96

It is believed that none of these references, alone or in proper combination, discloses or suggests the invention claimed by the applicants. Applicant requests that the Examiner review and consider the references and make them references of record.

Respectfully submitted,



William T. Rifkin  
Reg. No. 26,501

RUDNICK & WOLFE  
203 North LaSalle Street  
Suite 1800  
Chicago, Illinois 60601-1293

Date: June 2, 1998